

**MINICO PRODUCER APPOINTMENT  
AND BACKGROUND INFORMATION**  
REQUIRED FOR EACH LICENSED PRODUCER



To be completed by EACH PRODUCER(S)

The following information is for identification and investigative purposes only. Background investigations may occur.		
Agency Name	DBA Name	Agency No.
City	State	National Producer No.

**I. PERSONAL INFORMATION**

First Name (exactly as shown on state license)	Middle Name (exactly as shown on state license)	Last Name (exactly as shown on state license)	Prefix/ Suffix
Preferred First Name (if different from first name)	Maiden Name/AKA	AKA 2	
Social Security No.	Date of Birth (mm/dd/yyyy)	Sex	
		<input type="checkbox"/> Male <input type="checkbox"/> Female	

**II. RESIDENCE HISTORY**    **Note:** List your place(s) of residence for the past **FIVE YEARS**. Please add another Page 1 if necessary.

<b>CURRENT Residence From</b> (month/year):				
Current Street Address	City	State	ZIP	Country
Home Phone No.	Work Phone No.	Contact at Work?	Authorized to work in the U.S.?	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No, describe:	
<b>PREVIOUS Residence From</b> (month/year):		<b>TO</b> (month/year):		
Previous Street Address	City	State	ZIP	Country

**III. EMPLOYMENT HISTORY**    **Note:** Employment history must cover the past **FIVE YEARS**. Please add another Page 1 if necessary.

Company Name 1	Position	From (month/year)	To (month/year)	Contact Person Name
Street Address		City	State	ZIP
Company Name 2	Position	From (month/year)	To (month/year)	Contact Person Name
Street Address		City	State	ZIP

I testify that all of the information provided above is true and accurate.

\_\_\_\_\_  
Producer's Signature

\_\_\_\_\_  
Date

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**IV. BACKGROUND INFORMATION & RELEASE AUTHORIZATION**

1. Have you filed for, or been discharged from, any bankruptcy, insolvency, or assignment for the benefit of creditors with a filing or discharge date?	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

2. Do you have delinquent unpaid debts, including, but not limited to, loans, tax liens, outstanding civil judgments, child support payments, or alimony payments?	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

3. Have you <b>EVER</b> been convicted or plead guilty or no contest to any misdemeanor or felony charges or any misdemeanor?  You may exclude misdemeanor traffic citations and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or fine.	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

3a. If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033?	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

4. Has your insurance license ever been revoked by, or surrendered to, any state or have you ever been fined, penalized, sanctioned, or subject to any other disciplinary action by a state or federal regulatory agency or self-regulatory organization as a result of your activities in the business of insurance, securities, banking, investment banking, or real estate?	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

5. Are you currently the subject of any complaint, investigation, or proceeding that could result in a YES answer to any of the previous questions?	<input type="checkbox"/> YES* <input type="checkbox"/> NO
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\* Please describe:

**Your answer to the questions above certifies that you have made or caused to be made an investigation into your record as required by law.**

**\* If you have answered YES to any question above, you must provide complete details and appropriate documents such as official court records.**

**Any changes in the answers to the questions above must be reported to MiniCo Insurance Agency, LLC, within 30 days of the change in circumstances.**

\_\_\_\_\_  
Producer's Signature

\_\_\_\_\_  
Date

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**Disclosure And Waiver –  
Consumer Notification Under Fair Credit Reporting Act**

I authorize all corporations, companies, educational institutions, persons, law enforcement agencies, and former or current employers to release all written and verbal information about me to any reporting agency selected by MiniCo Insurance Agency, LLC. I release them from any liability and responsibility for doing so.

I also authorize MiniCo Insurance Agency, LLC, to procure a consumer/credit/criminal background report (“Consumer Report”) for the purpose of reviewing and determining my qualifications for being appointed, licensed or contracted (“appointment”) as a MiniCo Insurance Agency, LLC, agent. This authorization, in original or copy form, shall be valid for this and any future reports or updates that may be requested, to the extent allowed by law.

I hereby verify the foregoing answers and statements. I authorize MiniCo Insurance Agency, LLC, to release, for the purpose of processing my application for appointment, any information obtained to any company affiliate or to the principal of the agency recommending my appointment to the company.

I understand and agree that any misrepresentation of fact, whenever discovered, will be the basis for termination for cause of any such appointment. I hereby certify that I have never been convicted of a state or federal felony crime that would prohibit or disqualify me from participating in the business of insurance.

You will receive a copy of whatever credit report we obtain along with a written summary of your rights under the Fair Credit Reporting Act before we take any adverse action against you. You may also have the right to request additional disclosures regarding the nature and scope of this investigation.

I agree to immediately notify your office of any material changes in the above information.

By signing, I acknowledge the receipt of a copy of the foregoing disclosure and agree to the above.

\_\_\_\_\_  
Producer’s Signature

\_\_\_\_\_  
Date

**COPY TO BE RETAINED BY PRODUCER**